

City & County of Swansea Audit Committee Update – June 2017

Financial audit work 2016-17 – City & County of Swansea Pension Fund

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2016-17.	Audit Committee March 2017.
Financial Statements/Annual Audit Letter	Audit of the Pension Fund's 2016-17 financial statements and Annual Audit Letter.	Planned Audit Committee September 2017.

Financial audit work 2016-17 – City & County of Swansea

Activity	Scope	Status
Audit Plan	Plan of financial audit work for 2016-17.	Audit Committee March 2017.
Financial Statements 2016-17	Audit of the Council's 2016-17 financial statements.	Planned Audit Committee September 2017.
Certification of Grants and Returns 2016-17	Summary of grants and returns certification work 2016-17.	Planned Audit Committee January 2018.
Annual Audit Letter	Report summarising our 2016-17 financial audit work.	Planned Audit Committee January 2018.

Performance Audit work - City & County of Swansea

2016-17 Improvement Assessment	Scope	Status
Corporate Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Completed. Certificate issued June 2016.
Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Completed. Certificate issued November 2016.
Financial Resilience Assessment - Savings Planning	Councils are continuing to deal with austerity and an uncertain future. This review will seek to provide assurance that arrangements are focussed on maintaining resilience over the medium to long term.	Completed Report Issued April 2017.
Governance	This review will provide councils with a baseline from which to plan improvements to governance following the introduction of CIPFA's revised framework and the requirement for councils to adopt the sustainable development principle from April 2016	Draft June 2017.
Audit Committee facilitated self-assessment	Wales Audit Office facilitated Audit Committee self-assessment.	Completed February 2017
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Planned July 2017.

2017-18 Improvement Assessment	Scope	Status
Corporate Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to set improvement objectives.	Revisit upon publication of Council's Well Being Objectives
Improvement Plan Audit	Checks Council compliance with Local Government Measure (Wales) 2009 requirement to publish a self-assessment of performance in the previous year by 31 October.	Planning
Annual Improvement Report (AIR)	Annual report summarising the audit work undertaken in the last year which also includes a summary of the key findings from reports issued by 'relevant regulators'	Planning
WFG Year one commentary	Year one commentary on WFG/BaselineGather evidence on how the 44 bodies are beginning to respond to the requirements of the WFG Act and identify examples of notable emerging practice. There is no local report it is intended the baseline will inform a commentary to be published summer 2018.	Planning
WFG/Budget Scrutiny 2017-18	This review will examine the impact of the WFG Act on the work of scrutiny committees including PSB scrutiny, facilitating improvement and sharing of good practice.	Planning
Service User Review	This is a service user focussed review. We will select specific tracer area(s) to be agreed with the Council.	Planning

2017-18 Improvement Assessment	Scope	Status
Member Workshops – Aligning Levers of change	<p>The purpose of this review is to support the development of local action that will promote positive practice and help identify barriers to be addressed. The review will assist councils to:</p> <ul style="list-style-type: none"> refine current arrangements in Councils by promoting what is working well, identifying any barriers and developing local improvement opportunities; and facilitate sharing of practice between Councils. 	Planning
Digital Risk	Diagnostic.	Planning
Local project	To be determined.	Planning
2016-17 Local Government Studies	Scope	Status
Improving wellbeing through housing adaptations	<p>We will review how adaptations prevent access to and speed up discharge from hospitals. The work will look at how health use adaptations to underpin their activity as well as how efficient and effective organisations are at procuring and delivering adaptations work.</p>	In progress Swansea has not been selected to take part in the detailed fieldwork of this study.
Strategic commissioning of learning disability services by local authorities	<p>Fieldwork will focus on both the corporate approach to strategic commissioning but also use findings from the tracer area to understand how effective operational arrangements are. We are working with CSSIW and SSIA and will be building on recent national inspection and support work on learning disabilities.</p>	In progress. Swansea has not been selected to take part in the detailed fieldwork of this study.
How local government manages demand - Homelessness services	<p>The study will focus on homelessness in local authorities using the recent prevention duties placed on local authorities to judge how demand for services is managed.</p>	In progress. Swansea has been selected to take part in the detailed fieldwork which took place in January 2017.

National Studies	Update and link to report
Welsh Government oversight of further education finances and delivery	Published Feb 2017 Welsh Government oversight of further education finances and delivery
Public Procurement	In progress